

APR. 25. 2002 11:44AM

PALO ALTO

NO. 0702

P. 1

TOWNSEND
and
TOWNSEND
and
CREW

LLP

Denver, Colorado
Tel 303 571-4000

San Francisco, California
Tel 415 576-0200

Seattle, Washington
Tel 206 467-9600

Walnut Creek, California
Tel 925 472-5000

Palo Alto

379 Lyndon Avenue
Palo Alto
California 94301-1431
Tel 650 896-2400
Fax 650 896-2428

FEDERAL CENTER 1600/2900

APR 26 2002

RECEIVED

FACSIMILE COVER SHEET

Date:

April 25, 2002

Client & Matter Number :

014643-010510US

No. Pages (including this one):

12

To:

Examiner Linda Hallman
U. S. Patent and Trademark Office

At Fax Number:

1-703-872-9305

Confirmation Phone Number:

1-703-308-7638

From : Andrew Serafini

(5228)

Message:

Urgent - Please deliver to Examiner Linda Hallman.

Re: 09/644,668

Courtesy Copy of Response to Missing Parts

RECEIVED
FEDERAL CENTER 1600/2900
02 APR 26 AM 10:11

Original Will:

BE SENT BY MAIL

BE SENT BY FEDEX/OVERNIGHT COURIER

BE SENT BY MESSENGER

X

NOT BE SENT

Faxed:

Return to: Kathy S. Johnston - (5468)

If you have problems with reception please call Fax Services at extension 5565

Important

This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential, and/or exempt from disclosure by applicable law or court order. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address via the United States Postal Service. Thank you.

Atty Docket No. 014643-010510US

PTO FAX NO.: 703-305-9863

ATTENTION: Examiner Linda Hallman
TELEPHONE NO.: 703-308-7638

Group Art Unit 1632

OFFICIAL COMMUNICATION
FOR THE PERSONAL ATTENTION OF
EXAMINER Linda Hallman

CERTIFICATION OF FACSIMILE TRANSMISSION

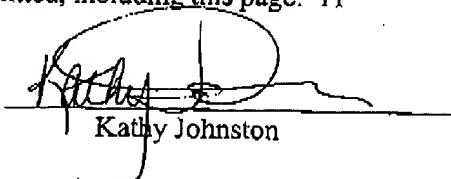
I hereby certify that the following Courtesy Copies in re Application of Alan J. Korman et al., Application No. 09/644,668, filed August 24, 2000 for HUMAN CTLA-4 ANTIBODIES AND THEIR USES is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Copy of date stamped postcard
2. Copy of Transmittal Letter
3. Copy of Petition for Extension of Time
4. Copy of Notice to File Missing Parts
5. Copy of Executed Declaration
6. Copy of Marked-up Filing Receipt

Number of pages being transmitted, including this page: 11

Dated: April 25, 2002



Kathy Johnston

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY
RETURN FACSIMILE AT (415) 576-0300**

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834
Telephone: 650-326-2400
Fax: 650-326-2422

PA 3217306 v1

RECEIVED

APR 26 2002

TECH CENTER 1600/2900

UNITED STATES PATENT AND TRADEMARK OFFICE
Please stamp your date of receipt of the following documents and return to addressee:

Mailing Date: May 16, 2001 First Class Mail
File No.: 014643-010510US Attorney: ATS/ksj
Application No.: 09/644,668 Filed: August 24, 2000
Inventor(s): KORMAN et al.
Title: HUMAN CTLA-4 ANTIBODIES AND THEIR USES

1. Transmittal

UNITED STATES PATENT AND TRADEMARK OFFICE

Please stamp your date of receipt of the following documents and return to addressee:

Mailing Date: May 16, 2001 First Class Mail
File No.: 014643-010510US Attorney: ATS/ksj
Application No.: 09/644,668 Filed: August 24, 2000
Inventor(s): KORMAN et al.
Title: HUMAN CTLA-4 ANTIBODIES AND THEIR USES

1. Transmittal Letter (2 pages x 2)
2. Petition for Extension of Time (1 page x 2)
3. Copy of Notice of Missing Parts (1 page)
4. Copy of Marked-up Filing Receipt (4 pages)



PA 3143394 v1

MAY 30 2001

Copy to US Documenting 5/16/01

APR. 25. 2002 11:44AM

PALO ALTO

NO. 0702 P. 4

that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT
Attorney Docket No.: 14643-010510US

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

On May 16, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KORMAN et al.

Application No.: 09/644,668

Filed: August 24, 2000

For: HUMAN CTLA-4 ANTIBODIES
AND THEIR USES

Examiner: Not yet assigned

Art Unit: 1632

**TRANSMITTAL LETTER -
RESPONSE TO NOTICE OF MISSING
PARTS**

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated October 16, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Petition for Extension of Time
- 2) Copy of Notice of Missing Parts
- 3) Executed Declaration
- 4) Marked-up Filing Receipt
- 5) Return receipt postcard

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity: (a) Filing Fee (§ 1.16(a)) (Large Entity) \$710.00
(b) Excess Claims Fees (§ 1.16(b), (c)):

APR. 25. 2002 11:45AM

T. PALO ALTO

NO. 0702 P. 5

et al.

Application No.: 09/644,668

Page 2

PATENT

48 x \$18.00 = \$864.00


7 x \$80.00 = \$560.00

(c) Missing Parts Surcharge \$130.00

TOTAL FEES TO BE CHARGED \$2,264.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,


Andrew T. Serafini, Ph.D.
Reg. No. 41,303

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 415 576-0300
ATS:ksj

PA 3108382 v1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/644,668	08/24/2000	Alan J. Korman	014643-010510US

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER

OC000000005473697

Date Mailed: 10/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1410.
 - \$864 for 48 total claims over 20.
 - \$546 for 7 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2230.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

file://C:\APPS\PreExam\correspondence\2_B.xml

10/13/00

Received from < 650 326 2422 > at 4/25/02 2:52:35 PM [Eastern Daylight Time]

PALO ALTO

NO. 0702 . P. 10



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20230

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND. CLAIMS
09/644,668	08/24/2000	1632	0	014643- 010510US	22	68	30

FILING RECEIPT



0000000005473888

Date Mailed: 10/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alan J. Korman, Piedmont, CA ;
Edward L. Halk, Sunnyvale, CA ;
Nils Longberg, Woodside, CA :

Lonberg

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/150,452 08/24/1999

Foreign Applications

If Required, Foreign Filing License Granted 10/13/2000

Time

Human CTLA-4 antibodies and their uses

Preliminary Class

435

Data entry by : ZIMMERMAN, SHAUNA

Team : OIPE

Date: 10/16/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52

file://C:\APPS\PreExam\correspondence\1 A.xml

10/13/00

Received from < 650 326 2422 > at 4/25/02 2:52:35 PM [Eastern Daylight Time]

RECEIVED

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "Improved," "Improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

file://C:\APPS\PreExam\correspondence\1_A.xml

10/13/00

APR. 25. 2002 11:47AM

T PALO ALTO

NO. 0702 P. 12

file://C:\APPS\PreExam\correspondence\1_A.xml

10/13/00

Received from <650 326 2422> at 4/25/02 2:52:35 PM [Eastern Daylight Time]